PTO/SB/05 (08-03) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. 01313/100F022-US3 UTILITY First Inventor Roger B. Harding PATENT APPLICATION CELLULOSE ETHERS AND METHOD OF **TRANSMITTAL** Title PREPARING THE SAME (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. MS Patent Application Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: P.O. Box 1450 See MPEP chapter 600 concerning utility patent application contents. Alexandria, VA 22313-1450 Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Applicant claims small entity status. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) 48 Specification [Total Pages Computer Readable Form (CRF) 3. Х (preferred arrangement set forth below) b. Specification Sequence Listing on: Descriptive title of the invention
 Cross Reference to Related Applications i. CD-ROM or CD-R (2 copies); or Paper

- Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention				c. Statements verifying identity of above copies						
				ACCOMPANYING APPLICATION PARTS						
Brief Summary of the Invention Brief Description of the Drawings (if filed) Description			Assignment Papers (cover sheet & document(s))							
- Claim(s)	- Detailed Description - Claim(s) - Abstract of the Disclosure			37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney						
4. X Drawing(s) (35 U.S.	C. 113) [Total Sheets	2]	11. English Translation Document (if applicable)							
5. Oath or Declaration	eath or Declaration [Total Sheets 5]			Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations						
a. Newly executed (original or copy)				13. X Preliminary Amendment						
b. X Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed)				X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
i, DELETION OF INVENTOR(S)				Certified Copy of Priority Document(s) (if foreign priority is claimed)						
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).			16.	Nonpublica	Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.					
			17.	Other:						
6. X Application Data She	eet. See 37 CFR 1.76									
specification following the title	ICATION, check appropriate be e, or in an Application Data Sh Divisional Continua	eet under 37	CFR			ow and	in the	first sentence of the 09/704,258		
Prior application information: Examiner Dwayne C. Jo								·		
For CONTINUATION OR DIV	VISIONAL APPS only: The ea a part of the disclosure of the can only be relied upon when	ntire disclosu accompanyin	re of t	the prior application	onal app	olicatio	n and	Is hereby incorporated by		
	19. C	ORRESPO	NDE	NCE ADDRESS	S	·				
X Customer Number:	072	78	-	OR		Corres	ponde	ence address below		
DARBY Jay P. I	/ & DARBY P.C. Lessler									
Address P.O. Bo	ox 5257									
City New Yo	New York State		NY			Zip Code		10150-5257		
Country US	US Telephone			2) 527-7700		(212) 753-6237				
Name (Print/Type)	Jay P. Lessler			Registration No.	(Attorne	v/Ager	nt)	41,151		

Signature November 24, 2003

PTO/SB/17 (10-03)

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	\Box	C mplete if Known							
FEE TRANSMITTAL	Ī	Application Number				Not Yet Assigned			
for FY 2004	[Filing Date				Concurrently Herewith			
		First Named Inventor				Roger B. Harding			
Effective 10/01/2003, Patent fees are subject to annual revision.	[Examiner Name				Not Yet Assigned			
Applicant claims small entity status. See 37 CFR 1.27	Art Unit				N/A				
TOTAL AMOUNT OF PAYMENT (\$) 1,996.00	Attorney Docket No. 01313/100F022-US3								
METHOD OF PAYMENT (check all that apply)		_		FEE	CALCU	LATION (co	ntinued)		
X Check Credit Money Other None Deposit Account:		DDITIC							
Deposit Account 04-0100	Fee	Entity Fee	Fee	Entity	-	F D	-1-41		
Number	Code	(\$)	Code	(\$)		Fee Desc	ription	Fee Paid	
Deposit Account Darby & Darby P.C.	1051	130	2051	65	Surcharge	narge - late filing fee or oath			
Name The Director is authorized to: (check all that apply)	1052	50	2052	25	Surcharge sheet.	surcharge – late provisional filing fee or cover heet.			
Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130		Non-English specification			
Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	_		parte reexamination	\square	
	1804	920*	1804	920°		equesting publication of SIR prior to			
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840°	1805	1.840°	Requestin	esting publication of SIR after			
FEE CALCULATION	1251	110	2251	55	Examiner	er action on for reply within first month			
1. BASIC FILING FEE	1252	420	2252	210			second month		
Large Entity Small Entity	1253	950	2253	475	Extension	for reply within	third month		
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension	for reply within	n fourth month		
1001 770 2001 385 Utility filing fee 770.00	1255	2,010	2255			for reply within	fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of		d an appeal		
1003 530 2003 265 Plant filing fee 1004 770 2004 385 Reissue filing fee	1402	330 290	2402 2403	165 145	-	ief in support o or oral hearing	и ан арреан		
1005 160 2005 80 Provisional filing fee	1451	1,510	1451		•	_	lic use proceeding		
SUBTOTAL (1) (\$) 770.00	1452	110	2452	55	Petition to	revive – unav	oidable		
	1453	1,330	2453	665	-	revive - uninte			
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE Extra Fee from	1501	1,330	2501	665		e fee (or reissu	ue)		
Claims below Fee Paid Total Claims 69 -20** = 49 x 18.00 = 882.00	1502 1503	480 640	2502 2503	240 320	Design iss Plant issu				
Total Claims 69 -20** = 49 x 18.00 = 882.00 Independent 7 -3** = 4 x 86.00 = 344.00	1460	130	1460	130		o the Commiss	sioner		
Claims / 55 - 4	1807	50	1807	50		g fee under 37			
Large Entity Small Entity	1806	180	1806	180		•	on Disclosure Stmt		
Fee Fee Fee Fee Fee Description	8021	40	8021	40			ssignment per		
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	1809	770	2809	385	Filing a su	imes number o bmission after			
1201 86 2201 43 Independent claims in excess of 3					(37 CFR 1	l.129(a)) additional inve	ntion to be		
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	examined	(37CFR 1.129	(b))	\vdash	
1204 86 2204 43 ** Reissue independent claims over original patent	1801	770	2801	385		or Continued E or expedited ex	Examination (RCE) xamination	\vdash	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900		n application		\vdash	
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SUBTOTAL (2) (\$) 1,226.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00 **or number previously paid, if greater, For Reissues, see above									
SUBMITTED BY					(Complete	(if applicable))			
Name (Print/Type) Jay P. Lessler		ration No ey/Agent)		,151		Telephone	(212) 527-7765	5	
Signature (LES) Aill	·			400	P5	Date	November 24,	2003	
MARIE 61	CF	ICC	AI	√ √	-				

Attorney Docket No.: 01313/100F022-US3

Certificate of Express Mailing Under 37 CFR 1.10

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on	November 24, 2003
	Date

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Typed or printed name of person signing Certificate

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Utility Patent Application Transmittal (1 page)
Specification (36 pages), Claims (11 pages). Abstract (1 page)
2 drawings (2 sheets)
Copy of Oath or declaration from prior application (5 pages)
Preliminary Amendment (14 pages)
Application Data Sheet (4 pages)
Fee Transmittal (1 page)
Check in the amount of \$1,996.00